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Candidate Gene Association Resource (CARE): Design, Methods, and Proof of Concept

Short title: *Musunuru et al; Design of NHLBI CARE*

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ABSTRACT

Background. The National Heart, Lung, and Blood Institute's Candidate Gene Association Resource (CARE), a planned cross-cohort analysis of genetic variation in cardiovascular, pulmonary, hematological, and sleep-related traits, comprises more than 40,000 participants representing four ethnic groups in nine community-based cohorts. The goals of CARE include the discovery of new variants associated with traits using a candidate gene approach and the discovery of new variants using the genome-wide association mapping approach specifically in African Americans.

Methods and Results. CARE has assembled DNA samples for more than 40,000 individuals self-identified as European-American, African-American, Hispanic, or Chinese-American, with accompanying data on hundreds of phenotypes that have been standardized and deposited in the CARE Phenotype Database. All participants were genotyped for seven single-nucleotide polymorphisms (SNPs) selected based on prior association evidence. We performed association analyses relating each of these SNPs to lipid traits, stratified by gender and ethnicity and adjusted for age and age². In at least two of the ethnic groups, SNPs near *CETP*, *LIPC*, and *LPL* strongly replicated for association with high-density lipoprotein cholesterol concentrations, *PCSK9* with low-density lipoprotein cholesterol levels, and *LPL* and *APOA5* with serum triglycerides. Notably, some SNPs showed varying effect sizes and significance of association in different ethnic groups.

Conclusions. The CARE Pilot Study validates the operational framework for phenotype collection, SNP genotyping, and analytical pipeline of the CARE project and validates the planned candidate gene study of ~2,000 biologic candidate loci in all participants and genome-wide association study in ~8,000 African-American participants. CARE will serve as a valuable resource for the scientific community.

Key words: Genetics; lipids; diabetes; blood pressure; epidemiology

INTRODUCTION

A key goal of biomedical research is to understand how genetic variation contributes to inter-individual differences in risk for disease. Despite many years of effort, the DNA sequence variants and underlying genes that affect complex genetic diseases such as myocardial infarction or type 2 diabetes and risk factors such as blood lipoprotein levels or body weight in humans remain mostly unknown. Critical questions that remain largely unanswered include which biological pathways are altered in patients in a manner that contributes causally to disease and might therefore be the best targets for interventions and therapies.

Genetic association studies, both genome-wide and those based on biologic candidates, have proven to be robust tools to discover genes associated with disease processes, potentially leading to novel therapeutics, personalized medicine and preventive programs. With genotyping efforts and association studies being conducted at an increasing number of institutions, it has become critical to establish collaborations or a centralized database where shared resources for analyses of the association of genotypes with phenotypes relevant to the biomedical community are located. The majority of large-scale genetic studies that have been completed have focused on whites of European ancestry, and have had limited representation of other ethnic groups; specifically, there has been a paucity of large-scale population-based studies that have included African Americans, Hispanics, and Chinese Americans. The application of candidate gene and genome-wide association approaches to a large number of individuals from a variety of ethnic groups promises to comprehensively advance our understanding of the heritability and biology of many diseases and traits.

The Candidate Gene Association Resource (CARE), a unique initiative of the National Heart, Lung, and Blood Institute (NHLBI), seeks to assemble well-characterized phenotypic data from nine NHLBI cohorts, to generate new genotype data across candidate genes and/or the

whole genome, and to perform genotype-phenotype association analyzes across more than 100 cardiovascular, pulmonary, hematological, and sleep-related traits available from the different cohorts. The nine cohorts include the Atherosclerosis Risk In Communities (ARIC) study,¹ the Coronary Artery Risk Development in Young Adults (CARDIA) study,² the Cleveland Family Study (CFS),³ the Cardiovascular Health Study (CHS),⁴ the Cooperative Study of Sickle Cell Disease (CSSCD),⁵ the Framingham Heart Study (FHS),⁶⁻⁸ the Jackson Heart Study (JHS),⁹ the Multi-Ethnic Study of Atherosclerosis (MESA),¹⁰ and the Sleep Heart Health Study (SHHS)¹¹ (**Table 1**).

The overall goals of CARE include: (1) the discovery of new variants associated with traits using a candidate gene approach; (2) the discovery of new variants using the genome-wide association mapping approach in ~8,000 African Americans across the cohorts; (3) characterization of validated variants across clinical subgroups stratified by ethnicity, gender, or clinical covariates; and (4) exploration of gene-environment interactions. CARE comprises two major components—candidate gene studies in the combined population of more than 40,000 individuals, and genome-wide association studies in ~8,000 African-American participants.

We conducted the CARE Pilot Study to validate the operational framework of CARE with respect to the phenotype collection, SNP genotyping, and construction of an analytical pipeline for genotype-phenotype association studies by (1) studying a pilot set of SNPs originally identified in European-derived cohorts and (2) assessing whether previously reported associations of SNPs with select traits in European Americans would replicate in other ethnic groups, namely African Americans, Hispanics, and Chinese Americans. The latter exercise will set the stage for multi-ethnic genetic association studies (CARE-wide and beyond). In the CARE Pilot Study, we analyzed 7 SNPs with prior evidence of association (**Table 2**) with respect to plasma levels of high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein

cholesterol (LDL-C), or triglycerides.

DESIGN AND METHODS

CARe Study Conception and Design

The CARe Study was initiated by the NHLBI in 2006. DNA samples and phenotypic information from the nine NHLBI cohorts—ARIC, CARDIA, CFS, CHS, CSSCD, FHS, JHS, MESA, and SHHS—were sent to the Broad Institute of MIT and Harvard and deposited in the CARe Phenotype Database. The overall CARe procedures include: (1) assembly of phenotypes into a single database; (2) genotyping of assembled DNA samples using three platforms: Sequenom for the Pilot Study, the ITMAT-Broad-CARe (IBC) array for candidate gene studies, and the Affymetrix 6.0 array for genome-wide association studies; (3) quality control procedures on genotype data; (4) establishment of a secure data repository to allow approved researchers to access phenotype and genotype data to pursue hypothesis testing (while meticulously maintaining full confidentiality for the study participants); (5) statistical modeling of the phenotypes of interest; (6) statistical analyses to identify associations between genotypes and phenotypes of interest; and (7) use of existing, public, internet-based resources to disseminate summary data from the GWAS and candidate gene studies to the wider scientific community (**Figure 1**). The availability of these data to the scientific community will (a) maximize the scientific utility to be gained from the investment in sample collection, genotyping, and phenotyping, (b) facilitate the replication and extension of CARe-derived results in other cohorts, and (c) foster the development of additional analytical and computational methods that can be tested on a large-scale genetic dataset.

Governance

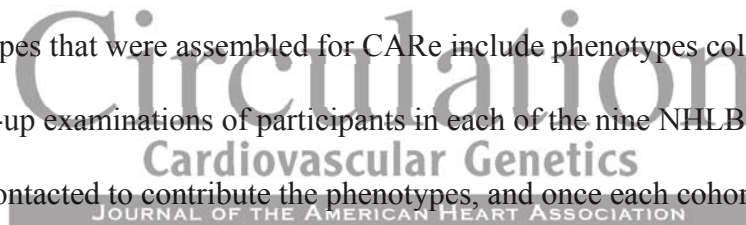
The CARE project is overseen by a Steering Committee comprising representatives from each of the nine NHLBI cohorts as well as the Broad Institute of MIT and Harvard and the University of Pennsylvania; under the Steering Committee are a number of Subcommittees and Working Groups that are responsible for the execution of various aspects of the CARE project (**Supplemental Figure 1**). NHLBI staff members participate in the meetings and teleconferences of the various committees and groups. An Oversight Committee appointed by the NHLBI monitors the progress of the CARE project.

Phenotype Database Construction

The phenotypes that were assembled for CARE include phenotypes collected at the baseline and follow-up examinations of participants in each of the nine NHLBI cohorts. Each CARE cohort was contacted to contribute the phenotypes, and once each cohort dataset was received, they were deposited in the CARE Phenotype Database. Available phenotypes that have been cataloged in the CARE Phenotype Database range from hundreds to many thousands depending on the cohort. Descriptions of phenotype groupings and examples of phenotypes are available in **Table 3** and at the CARE website:

http://www.broad.mit.edu/gen_analysis/care/index.php/Main_Page. When they are requested for use by investigators, phenotypes are to be standardized according to the Clinical Data Interchange Standard Consortium Study Data Tabulation Model (CDISC SDTM) available at the website: <http://www.cdisc.org/models/sds/v3.1/index.html>.

Three of these phenotypes were used for the Pilot Study—HDL-C, LDL-C, and triglycerides. Values of these traits (except LDL-C, which was calculated—see below) determined at baseline visits for each cohort were used. Of note, the subgroup of the SHHS



cohort with genotype data comprises individuals originally recruited from ARIC, CHS, and FHS and subsequently evaluated for sleep phenotypes. In this paper, SHHS participants appear under their parent cohorts.

Genotyping and Quality Control

CARe Pilot (Sequenom)

After appropriate Material Transfer Agreements were in place, samples were shipped from each cohort's central genetics laboratory to the Broad Institute of MIT and Harvard. DNA concentration was determined by the Picogreen assay (Invitrogen, Carlsbad, California) before storage in 2D-barcoded 0.75 mL Matrix tubes at -20°C in the SmARtStore™ (RTS, Manchester, UK) automated sample handling system. Seven SNPs were selected for the CARe Pilot Study based on previously published evidence of association (see **Table 2** for SNPs and references). These SNPs were genotyped using the Sequenom MassArray System platform (Sequenom, San Diego, California). All DNA samples passing initial quality checks were plated at a concentration of 5 ng/ μl for processing on the platform. Sequenom SNP genotyping uses bead-less and label-free primer-extension chemistry in a multiplex format to generate allele-specific products with distinct masses that are distinguished by mass spectroscopy. The results were automatically loaded into a database and then scored using SpectroTyper 4.0 Software (Sequenom) and uploaded to the laboratory information management system and data storage system.

Several quality control (QC) procedures were performed on the genotype data, separately for each cohort (**Supplemental Table 1**). Sample duplicates were identified using sample IDs. For each set of duplicates or monozygotic twins, data from the sample with the highest genotyping success rate was retained. Reported sex and genotype-inferred sex (two independent

Sequenom assays for each sample) were compared for concordance. All discordant samples and samples for which no sex information was available were resolved in consultation with the relevant cohort or excluded. SNPs with a missing data rate above 10% and samples with a genotyping success rate below 90% were removed. Only samples with available phenotypic information were used for association studies. In the cohorts with available family information—CFS and FHS—data of descendants from families accounting for the most Mendelian errors in the dataset were excluded. Because several different ethnic groups were represented, with the expectation of differing genotype frequencies and admixture, no filters were applied for minor allele frequency or Hardy-Weinberg P values. All QC analyses were performed in PLINK.¹⁹



Candidate Gene Studies (Illumina iSelect-IBC Chip)

The design of the IBC Chip, a custom 50K SNP genotyping array, has been described recently.²⁰ The SNPs (49,320 total) were chosen to densely map about 2,000 candidate gene loci deemed to be relevant to phenotypes available in the CARE Phenotype Database. All DNA samples passing initial quality checks were interrogated with the IBC chip. Analyses with this genotype data are not included in this manuscript, but rather will be the focus of future CARE studies.

GWAS (Affymetrix 6.0 Array Set)

Approximately 8,000 African-American participants from five of the CARE cohorts—ARIC, JHS, CARDIA, CFS, and MESA—were genotyped with the Affymetrix 6.0 (“million-SNP”) Array Set (Affymetrix, Santa Clara, California), typing more than 906,600 SNPs and 946,000 probes for copy-number variation across the genome. The genotype data so obtained will be used for GWAS on phenotypes of interest. Analyses with this genotype data are not

included in this manuscript, but rather will be the focus of future CARE studies.

Data Management

A key goal of CARE is to prepare a comprehensive genotype and phenotype dataset that serves as a scientific resource that is broadly accessible to the research community. This was performed taking care to protect the confidentiality and interests of study participants and consistent with the informed consent procedures in each of the cohorts. The Institutional Review Boards (IRBs) of each CARE cohort (i.e., the IRBs for each cohort's field centers, coordinating center, and laboratory center) have reviewed the cohort's interaction with CARE. CARE itself has been approved by the Committee on the Use of Humans as Experimental Subjects (COUHES) of the Massachusetts Institute of Technology. Identifiers were removed and codes were assigned to any protected health information (PHI) transmitted to the CARE Data Repository, with a Certificate of Confidentiality issued by the National Institutes of Health. The Data Repository will release limited datasets to qualifying investigators whose projects have been approved by their local IRBs and who have completed a CARE Data Distribution Agreement. Each such dataset will have its own unique, randomly generated set of participant identifiers.

Of note, at the time of study, not all subjects had provided specific consent for data to be made available to non-CARE investigators. Accordingly, upon request public access to the data will be provided to the extent that the informed consent process allows.

Phenotype Modeling

For the CARE Pilot Study, we modeled the pilot phenotypes in the following ways. LDL-C was calculated according to Friedewald's formula: $LDL-C = \text{total cholesterol} - HDL-C - (\text{triglycerides} \div 5)$. If a triglyceride value was > 400 mg/dL, LDL-C was treated as a missing

value. For individuals on lipid-lowering therapy, the LDL-C value was multiplied by 1.42 to model a 30% reduction in LDL-C on therapy. This represents the average expected reduction in LDL-C with a first-generation statin, the most commonly used lipid-lowering medication during the study periods of most of the cohorts.²¹ Triglyceride values were log(10)-transformed. Sex-specific phenotype residuals were constructed within strata of cohort and ethnicity with adjustment for age and age² in each individual stratum. Each set of residuals was standardized to a mean of zero and a standard deviation of one. The standardized residual served as the phenotype in genotype-phenotype association analyses.

Generation of residuals was performed with the R statistical package (The R Foundation for Statistical Computing, Vienna, Austria).



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Cohorts were divided into subgroups by ethnicity; association analyses were performed for each subgroup, followed by meta-analysis of the subgroups for each ethnicity. For cohorts in which individuals were largely unrelated or when family information was not available—ARIC, CARDIA, CHS, CSSCD, JHS, and MESA—we used linear regression to test SNP-phenotype associations assuming an additive genetic model. These association analyses were performed in PLINK. For the two cohorts for which there were significant numbers of related individuals, and for which family information was available at the time of the Pilot Study—CFS and FHS—we used a linear mixed effects (LME) model to analyze the traits, with the SNP genotype treated as a fixed effect, and a random effect according to the degree of relatedness within a family.²² Genotype-phenotype associations within each ethnic group were assessed by variance-weighted meta-analyses, and heterogeneity within each ethnic group or between ethnic groups was assessed using Cochran's Q statistic and/or the I^2 inconsistency metric.²³

For future GWAS and candidate gene studies, association analyses will include procedures to account for the effects of local and global ancestry, particularly with regard to African-American subjects. Given the small number of SNPs addressed in the Pilot Study, such procedures were not feasible.

The authors had full access to the data and take responsibility for its integrity. All authors have read and agree to the manuscript as written.

RESULTS

Baseline Characteristics of CARE Cohorts

Characteristics of each individual cohort's study participants with respect to the pilot phenotypes are presented in **Table 1**. (See also **Supplemental Table 1**.) At the time of the Pilot Study, the combined sample that had been received and processed at the Broad Institute and undergone successful genotyping and association analyses included 40,324 total individuals, of whom 26,647 were European Americans, 11,550 African Americans, 1,410 Hispanics, and 717 Chinese Americans. The cohorts varied with regard to the mean age, the proportions with T2D, and the BMI of the participants. Mean lipid and SBP values were similar across cohorts. Of note, lipid phenotypes were not available for CSSCD individuals and, thus, these individuals were not included in analyses for HDL-C, LDL-C, and triglycerides.

Evidence for Admixture in African American Cohorts

We compared minor allele frequencies (MAFs) for each of the pilot SNPs in the African American cohorts and European American cohorts (**Supplemental Table 2**) with the (MAFs) for the SNPs in the Yoruba (YRI) and European-descended (CEU) groups in the International HapMap Project (**Table 2**).²⁴ These comparisons suggest all of the African American cohorts

have a significant degree of admixture of African and European chromosomes. For example, for rs17231506 in the *CETP* locus, the MAFs range from 10% to 16% in the African American cohorts, whereas the European American cohorts range from 31% to 33%. The European American cohorts' MAFs are consistent with the CEU MAF of 37%, whereas the African American cohorts' MAFs are intermediate between those of CEU and YRI (3%). This is generally true for all of the pilot SNPs whose MAFs differ greatly between the CEU and YRI groups.

Pilot Phenotype-Genotype Association Results

We performed association analyses for each of the seven pilot SNPs against each of the relevant pilot phenotypes (i.e., the phenotypes with which they had previously been shown to have association, **Table 2**) in each ethnic group within each cohort, assuming additive genetic models. The results of the analyses are presented in **Figure 2** and **Supplemental Table 2**. To account for multiple testing, we considered a *P* value of 1×10^{-4} to represent the threshold of statistical significance. We performed meta-analyses and heterogeneity analyses for each SNP-phenotype combination (**Supplemental Table 2**).

A SNP in the *CETP* gene, rs17231506, was associated with HDL-C in all four ethnic groups: African Americans, European Americans, Hispanics, and Chinese Americans. Another SNP in *CETP*, rs4783961, was associated with HDL-C in African Americans, European Americans, and Chinese Americans. Other HDL-C-associated SNPs were rs1800588 in *LIPC* and rs328 in *LPL*, both in African Americans and European Americans. Similarly, triglyceride-related SNPs were replicated in both African Americans and European Americans: rs328 in *LPL* and rs3135506 in *APOA5*. LDL-C-related SNPs were associated in either African Americans or European Americans but not both: rs505151 in *PCSK9* was associated in African Americans but

not in European Americans, whereas rs11591147 in *PCSK9* was associated with LDL-C in European Americans but not in African Americans.

We found that within each ethnicity, there was low heterogeneity of effect of each SNP on each trait (**Supplemental Table 2**). For example, for the SNP rs4783961 in *CETP* and HDL-C, the direction of the association was consistent across all the cohorts, with the G allele representing higher HDL-C levels. The effect sizes (beta coefficients) associated with this allele were remarkably consistent across the African-American cohorts (ranging from 0.17 to 0.24) and across the European American cohorts (0.09 to 0.15). Formal heterogeneity analyses showed very low heterogeneity among the two sets of cohorts, with the I^2 inconsistency metric being 0% for each set. Indeed, for all of the statistically significant SNP-phenotype associations, in many cases the I^2 metric was 0%, in no case exceeding 50%; similarly, in every case the Cochran's Q P value for heterogeneity was nonsignificant ($P > 0.05$).

There were notable differences in effect sizes across ethnic groups for some of the SNPs (**Figure 2**). For example, at rs4783961 in *CETP* and HDL-C, the effect size was uniformly larger in African Americans (0.17 to 0.24) than in European Americans (0.09 to 0.15) ($P = 2 \times 10^{-10}$ by Cochran's Q heterogeneity test). The effect size for this variant in Hispanics (0.12) was more similar to European Americans, and in Chinese Americans (0.26) with African Americans. Unlike rs4783961, the HDL-C-associated SNP rs17231506, also in *CETP*, had larger effect sizes in European Americans and Hispanics (0.21 to 0.28) compared with African Americans (0.06 to 0.26) ($P = 8 \times 10^{-8}$ between European Americans and African Americans), though smaller than in Chinese Americans (0.35). Thus, even though the same gene locus (*CETP*) was highly associated with HDL-C across the ethnicities, there were differences between ethnicities in the contributions of individual SNPs at the locus to inter-individual variation in HDL-C levels.

We observed inter-ethnic differences with other gene loci vis-à-vis other phenotypes. The

rs505151 SNP in the *PCSK9* locus had stronger statistical association with LDL-C in African Americans than in European Americans, though this is attributable to the higher minor allele frequency in African Americans (~25%) than in European Americans (~4%).

Other SNPs appear to affect phenotypes to similar degrees across ethnic groups: rs1800588 in *LIPC* with HDL-C; rs3135506 in *APOA5* with triglycerides; and rs328 in *LPL* with both HDL-C and triglycerides.

DISCUSSION

The CARE Pilot Study was designed to evaluate the operational framework established for the resource, including phenotype collection and integration, routing of a large number of DNA samples for genotyping analyses, quality control procedures on all the collected data, data analysis, and synthesis of the results. Our ability to obtain robust phenotype-genotype associations for SNPs with strong prior evidence in the published literature validates the CARE study framework and sets the stage for the candidate gene and GWAS discovery phases of CARE that are in progress. The Pilot Study also afforded the scientific opportunity to evaluate the effects of DNA variants on clinically important traits in the largest group of African-American individuals with genotype information assembled to date. The critical findings from the pilot phenotype-genotype associations were that: (1) there was low heterogeneity for highly associated SNPs within cohorts of the same ethnicity; (2) for some associated SNPs there were inter-ethnic differences in effect size; and (3) each gene replicated in multiple ethnic groups, although not necessarily through the same SNPs.

The finding of low heterogeneity with the lipid traits suggests that despite the expected variation in phenotypes among the cohorts due to the use of different assays or different disease definitions, at least some of the phenotypes that have been collected in CARE from different

cohorts can be standardized and, upon appropriate analysis, yield meaningful scientific results.

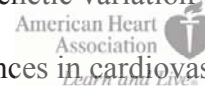
We found that for several SNPs in replicated gene loci—most notably in *CETP* and *PCSK9*—the associations with phenotype differed between African Americans and European Americans. For example, whereas one *CETP* SNP (rs4783961) had a larger effect size in African American cohorts, another SNP in the same locus (rs17231506) showed a larger effect size in European American cohorts. One possible explanation for this observation is that African Americans and European Americans share the same causal variant in a gene, but due to ethnicity-specific differences in the major and minor allele frequencies, a SNP may have differing strength of correlation with the causal variant, which manifests as varying effect sizes and the degree of association. In some cases, the inter-ethnic differences are observed despite similar allele frequencies (e.g., rs4783961 in African Americans and European Americans). An alternative explanation is that inter-ethnic differences in the linkage disequilibrium patterns in the gene locus result in SNPs having differing correlations with the causal variants. A third possibility is that different causal variants in the same gene predominate in the ethnic groups, with different SNPs in the locus linked with the variants.

This last possibility appears to be the case for *PCSK9*, where the SNP that is strongly associated with LDL-C in European Americans (rs11591147) has a weak association in African Americans, and vice versa (rs505151). Both SNPs are coding variants that are likely to be causal for the effect on LDL-C, and the explanation for the strong association in the one ethnic group and the weak association in the other group is that the SNP has a lower MAF in the latter group. For example, rs505151 has a MAF of about 25% in the African American cohorts but only 4% in the European American cohorts. This finding with *PCSK9* and LDL-C suggests that for some proportion of lipid-associated loci, the specific SNPs related to lipids will differ among ethnic groups—in contrast with *CETP*, *LIPC*, *LPL*, and *APOA5*, for which the same SNPs replicated in

multiple ethnic groups in this study. Future CARE analyses, particularly large-scale candidate gene studies, will be useful in assessing the prevalence of this phenomenon.

CONCLUSION

CARE represents the successful assembly of DNA samples and phenotype data from over 40,000 participants in nine NHLBI cohort studies into a unique, valuable, publicly available resource to test an array of genes for a variety of phenotypes. The Pilot Study validates CARE's operational framework and provides an initial evaluation of inter-ethnic differences for selected SNP-phenotype relationships. The ongoing large-scale candidate gene and genome-wide association analyses in CARE will explore the contribution of genetic variation to inter-individual, inter-ethnic, age-related, and cohort-specific differences in cardiovascular, pulmonary, hematological, and sleep-related phenotypes. Thus, CARE should serve as a valuable resource for the scientific community.



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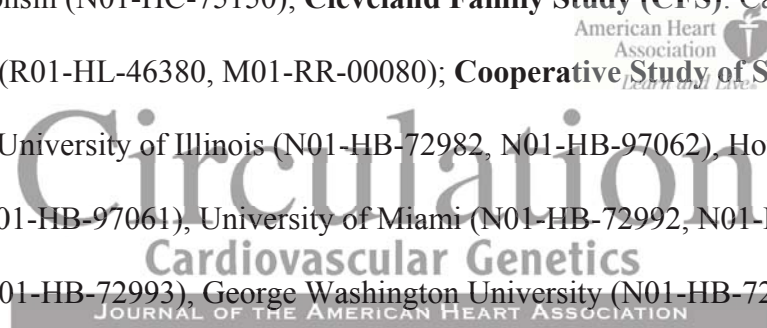
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Multi-Ethnic Study of Atherosclerosis (MESA): University of Washington (N01-HC-95159), University of California, Los Angeles (N01-HC-95160), Columbia University (N01-HC-95161), Johns Hopkins University (N01-HC-95162, N01-HC-95168), University of Minnesota (N01-HC-95163), Northwestern University (N01-HC-95164), Wake Forest University (N01-HC-95165), University of Vermont (N01-HC-95166), New England Medical Center (N01-HC-95167), Harbor-UCLA Research and Education Institute (N01-HC-95169), Cedars-Sinai Medical Center (R01-HL-071205), University of Virginia (subcontract to R01-HL-071205); **Sleep Heart Health Study (SHHS):** Johns Hopkins University (U01-HL-064360), Case Western University (U01-HL-063463), University of California, Davis (U01-HL-053916), University of Arizona (U01-HL-053938, U01-HL-053934), University of Pittsburgh (U01-HL-077813), Boston University (U01-HL-053941), MedStar Research Institute (U01-HL-063429), Johns Hopkins University (U01-HL-053937).

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Table 1. Study design and participant characteristics*

Study	ARIC		JHS		MESA			CSSCD		CFS		FHS			CHS		CARDIA		
	AA	EA	AA	AA	EA	HIS	CHI	AA	AA	EA	Gen. 1	Gen. 2	Gen. 3	All	AA	EA	AA	EA	
Ancestry composition	AA	EA	AA	AA	EA	HIS	CHI	AA	AA	EA	EA	EA	EA	EA	AA	EA	AA	EA	
N (phenotypes)	4,141	11,412	2,702	1,754	2,537	1,475	778	3,205	721	722	2,511	3,765	4,082	10,358	842	4,653	2,637	2,478	
Ascertainment scheme	Community-based		Community-based		Population-based			Patient-based		Family-based		Community-based	Community-based	Community-based	Community-based	Community-based		Community-based	
Recruitment period	1987-1998		2000-2004		2000-2007			1979-1988†		1990-2005		1948-1953	1971-1975	2002-2005		1989-1993		1985-1986	
Mean age (y)	54±6	54±6	52±13	62±10	63±10	61±10	62±10	17±20	39±20	42±20	69±8	44±10	40±9	49±15	73±6	73±6	24±4	25±3	
Female sex (%)	62	53	62	55	52	52	51	51	57	52	60	52	53	54	63	56	56	53	
Total cholesterol, mg/dl	215±45	215±50	197±39	190±36	196±35	198±37	192±31	-	171±42	181±40	229±42	204±39	189±35	204±41	210±40	211±39	177±34	176±32	
LDL cholesterol, mg/dl	137±43	138±40	125±36	116±33	117±30	120±33	115±29	-	96±33	101±30	46±13	130±35	112±32	120±34	129±37	130±36	110±32	108±30	
HDL cholesterol, mg/dl	55 ± 18	50 ± 17	51 ± 15	52 ± 15	52 ± 16	48 ± 13	49 ± 12	-	48 ± 15	46 ± 13	50 ± 16	48 ± 13	54 ± 16	51 ± 15	60 ± 16	53 ± 16	54 ± 13	51 ± 13	
Triglycerides, mg/dl	114±81	138±93	109±98	105±69	133±90	157±101	143±85	-	102±62	143±118	-	-	116±90	112±95	116±63	144±79	67±38	79±57	
Systolic BP, mmHg	129±22	119±17	126±18	131	124±21	127±22	125±22	106±16	123±18	121±16	137±19	122±17	117±14	124±18	142±23	136±22	111±11	109±11	
Hypertension(%)	58	33	58	62	44	44	41	4	38	29	56	23	11	26	78	64	5	3	
Type 2 diabetes mellitus (%)*	20	9	18	18	6	18	14	3	14	9	9	3	3	4	25	15	1	.5	
Body mass index (kg/m²)	29.6±6	27.0±5	31.9±7	30.0±6	27.7±5	29.4±5	23.9±3	18.9±9	32.0±10	30.1±9	26.2±4	25.6±4	26.9±6	26.0±5	28.0±6	26.3±4	25.2±6	23.5±4	
Lipid lowering therapy (%)	1	3	11	16	18	13	14	-	8	8	3	1	7	4	7	5	-	-	
Anti-hypertensive therapy (%)	44	26	49	50	33	33	29	1	32	23	32	10	-	19	63	45	1	1	
Reference for study design	1		9			10		5		3			6-8		4			2	

Values with '±' are means ± standard deviation. The body-mass index is the weight in kilograms divided by the square of the height in meters. Hypertension was defined as systolic blood pressure ≥ 140 mmHg, diastolic blood pressure ≥ 90 mmHg, or use of anti-hypertensive therapy. AA = African American; EA = European American; HIS = Hispanic; CHI = Chinese American.

* The Sleep Heart Health Study (SHHS)¹¹ is not represented in this table because the subgroup of the SHHS cohort with genotype data comprises individuals originally recruited from ARIC, CHS, and FHS (and who are included in this table under these other cohorts). A number of individuals are participants in both ARIC and JHS; in this table they are included only in ARIC.

† 1979-1981 >6 months of age; 1979-1988 <6 months of age

Table 2. Pilot SNPs and associated phenotypes tested in Design Study

Gene	Symbol	Polymorphism	Associated phenotype	rs number	CEU MAF	YRI MAF	Reference
Apolipoprotein A5	<i>APOA5</i>	Ser19Trp	Triglycerides	rs3135506	0.06	0.05	12
Cholesteryl ester transfer protein	<i>CETP</i>	C-1337T	HDL-C	rs17231506	0.37	0.03	13
Cholesteryl ester transfer protein	<i>CETP</i>	G-971A	HDL-C	rs4783961	0.46	0.42	14
Hepatic lipase	<i>LIPC</i>	C-480T	HDL-C	rs1800588	0.26	0.47	15
Lipoprotein lipase	<i>LPL</i>	Ser447X/Ser474X	HDL-C, triglycerides	rs328	0.13	0.03	16
Proprotein convertase subtilisin/kexin type 9	<i>PCSK9</i>	Arg46Leu	LDL-C	rs11591147	0.00	0.00	17
Proprotein convertase subtilisin/kexin type 9	<i>PCSK9</i>	Glu670Gly	LDL-C	rs505151	0.04	0.31	18

CEU = European descent (HapMap); YRI = Yoruban (HapMap); MAF = minor allele frequency in HapMap



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Table 3. Phenotype categories available in CARE database

Category	Example phenotypes
Aging	Age at examination Age at menopause Age at birth of first child Age at birth of last child
Anthropometry	Height Weight Body-mass index Waist circumference
Atrial fibrillation/electrocardiography	Atrial fibrillation PR interval QT interval
Blood biomarkers	C-reactive protein MCP-1 IL-6 Fibrinogen Factor VII Blood cell counts
Blood pressure/hypertension	Systolic blood pressure Diastolic blood pressure Pulse pressure Mean arterial pressure Hypertension Hypertension medications
Coronary heart disease	Coronary heart disease
Diabetes mellitus/glucose	Type 2 diabetes mellitus Age at diabetes diagnosis Diabetes medications Diabetic retinopathy Hemoglobin A1C Fasting blood glucose Fasting insulin Insulin use
Echocardiography/congestive heart failure	Heart failure Left ventricular mass Left ventricular ejection fraction Right ventricular ejection fraction
Kidney disease	Creatinine Estimated glomerular filtration rate Cystatin C Urinary albumin:creatinine ratio
Lipids	Total cholesterol HDL cholesterol LDL cholesterol Triglycerides ApoB Apo A-I Lipid-lowering therapy
Musculoskeletal	Gout Serum urate
Peripheral arterial disease	Peripheral arterial disease Ankle-brachial index
Pulmonary function	FEV ₁ FVC FEF ₂₅₋₇₅
Sleep	Sleep apnea Snoring Epworth Sleepiness Scale Excessive Daytime Sleepiness
Smoking	Smoking status Pack-year history Smoking intensity
Stroke	Incident stroke Ischemic stroke
Subclinical atherosclerosis	Coronary artery calcification Carotid intima-media thickness

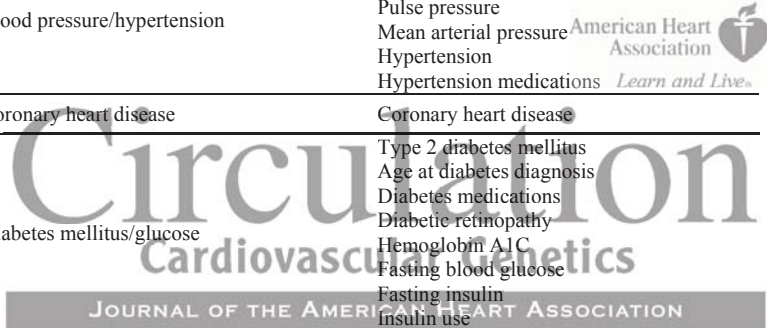


FIGURE LEGENDS

Figure 1. Operational framework of CARE.

Figure 2. Forest plots for SNP-phenotype effects, with Cochran's Q P values.

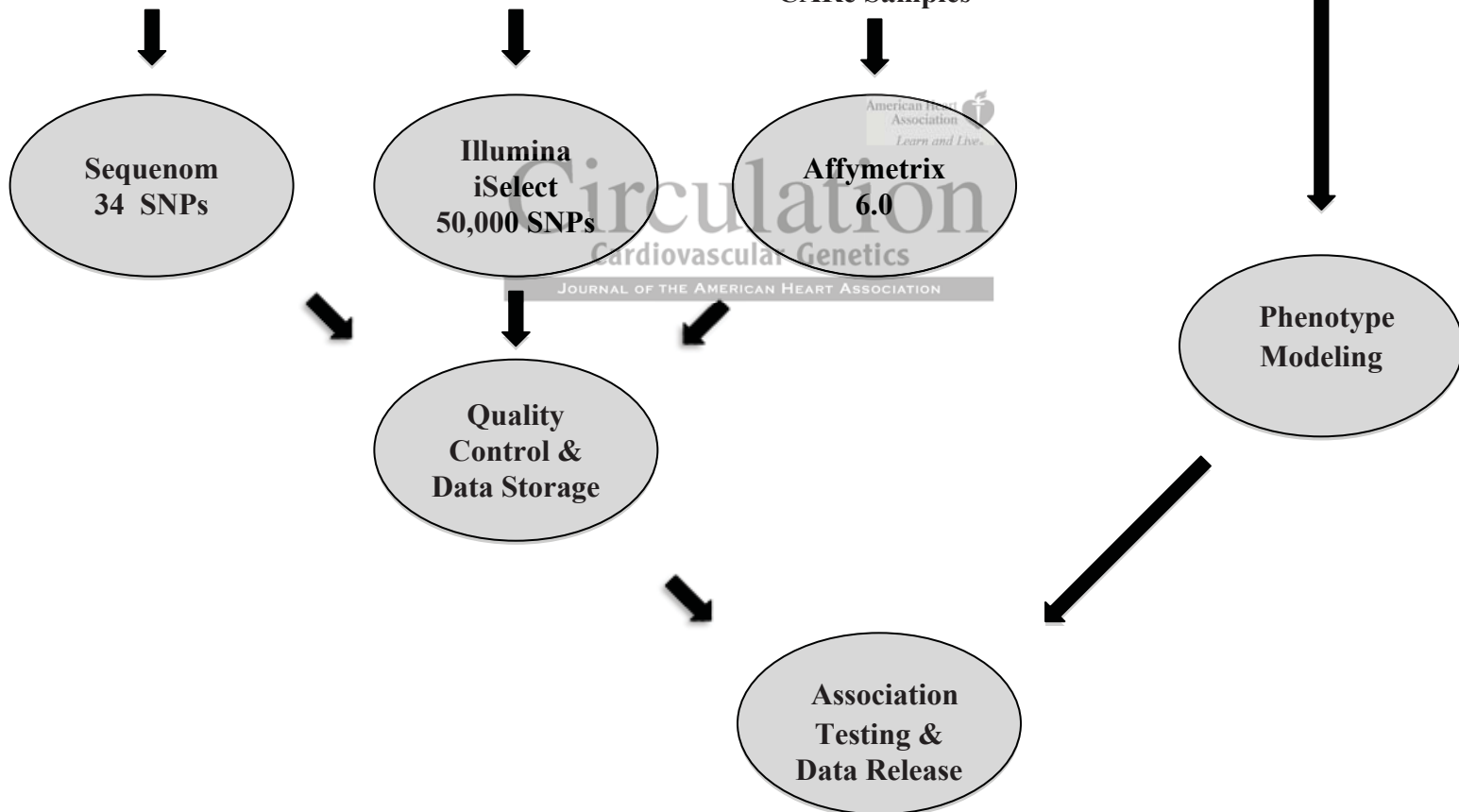


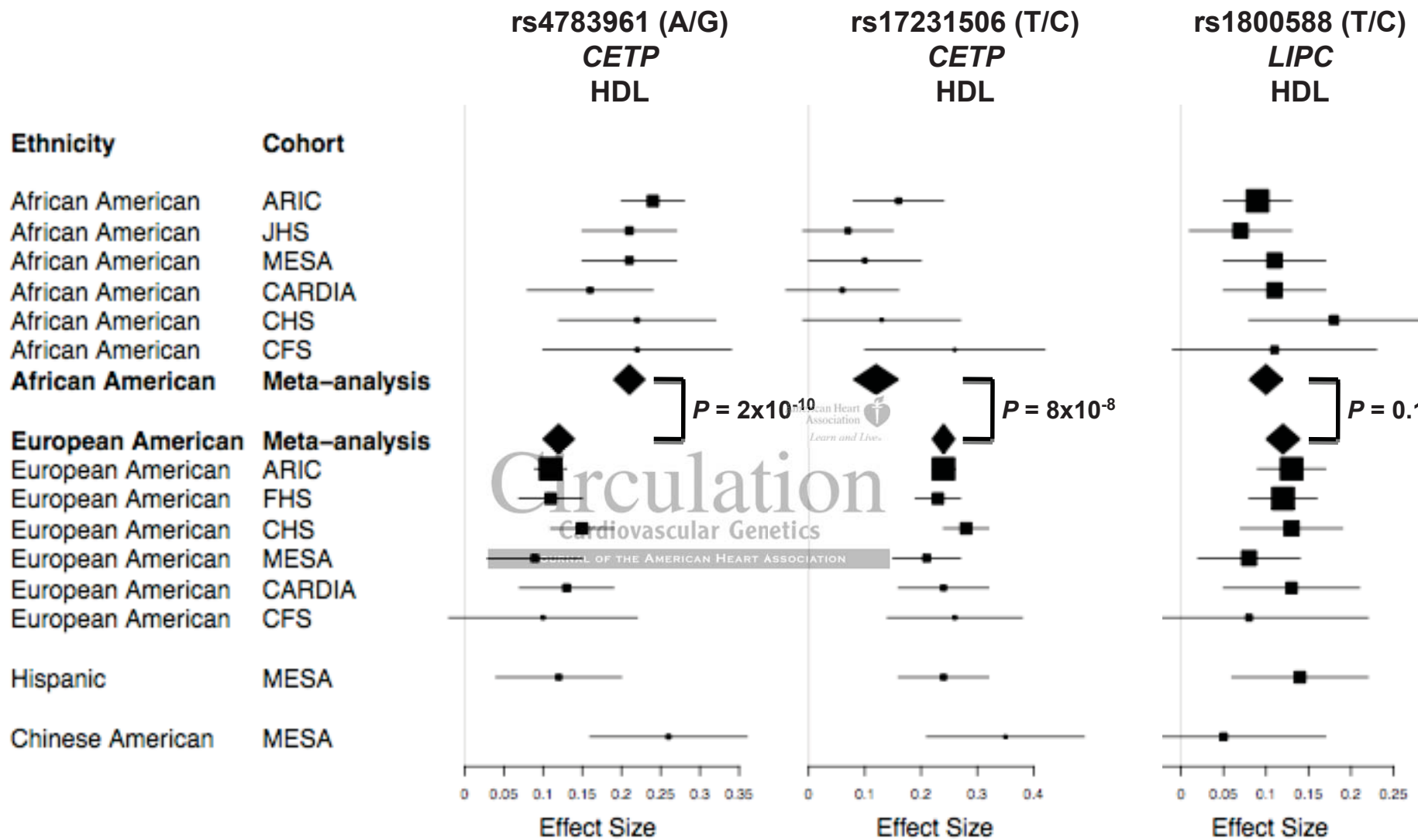


PILOT:
> 40,000 CARE Samples

PHASE 2:
> 40,000 CARE Samples

PHASE 3:
**~ 8,000 African-American
CARE Samples**





SUPPLEMENTAL MATERIAL

Supplemental Table 1. Quality control pipeline*

Individuals with phenotypes	51,186								
	7,305 individuals' samples not sent to Broad due to lack of patient consent, lack of DNA, or DNA quality issues								
Total DNA samples sent to Broad Institute for genotyping (including controls and duplicates)	46,560								
	2,679 control samples or duplicates removed								
Study	ARIC	CARDIA	CFS	CHS	CSSCD	FHS	JHS	MESA	Total
Samples genotyped (not including controls or duplicates)	14,996	3,520	1,481	5,485	1,707	7,992	2,156	6,544	43,881
Twins removed						23			23
Removed for incomplete family information						436			436
Removed for Mendel errors			7			26			33
Removed for low genotyping rate	287	124	48	183	57	130	36	249	1,114
Final number of genotyped unique individuals (% of samples genotyped)	14,709 (98.1%)	3,396 (96.5%)	1,426 (96.3%)	5,302 (96.7%)	1,650 (96.7%)	7,377 (92.3%)	2,120 (98.3%)	6,295 (96.2%)	42,275 (96.3%)
Self-identified solely as <i>AA</i> , with available pilot phenotypes	3,435	1,613	693	794			2,118	1,713	10,366
Self-identified solely as <i>EA</i> , with available pilot phenotypes	10,504	1,743	691	4,362		6,914		2,433	26,647
Self-identified solely as <i>HIS</i> , with available pilot phenotypes								1,410	1,410
Self-identified solely as <i>CHI</i> , with available pilot phenotypes								717	717
Total analyzed [†] (% of final number of genotyped individuals)	13,939 (94.8%)	3,356 (98.8%)	1,384 (97.1%)	5,156 (97.2%)		6,914 (93.7%)	2,118 (99.9%)	6,273 (99.7%)	39,140 (92.6%)

AA = African American; EA = European American or Caucasian; HIS = Hispanic; CHI = Chinese American.

* The Sleep Heart Health Study (SHHS)¹¹ is not represented in this table because the subgroup of the SHHS cohort with genotype data comprises individuals originally recruited from ARIC, CHS, and FHS (and who are included in this table under these other cohorts).

[†] Because no lipid phenotypes were available for the CSSCD cohort, no analyses were performed for this cohort in the Pilot Study.

Supplemental Table 2. Genotype-phenotype associations for lipid traits

Trait	Gene	SNP (minor/major alleles)	African American							European American							Hispanic	Chinese American
			ARIC N=3435	JHS N=2118	MESA N=1713	CARDIA N=1613	CHS N=794	CFS N=693	Meta- analysis N=10366	ARIC N=10504	FHS N=6914	CHS N=4362	MESA N=2433	CARDIA N=1743	CFS N=691	Meta- analysis N=26647	MESA N=1410	MESA N=717
HDL	CETP	rs4783961 A/G	0.24 (0.02) 4.2E-22 (43%) 0.49	0.21 (0.03) 8.5E-12 (44%) 0.12	0.21 (0.03) 9.6E-10 (44%) 0.59	0.16 (0.04) 6.8E-06 (44%) 0.84	0.22 (0.05) 1.2E-05 (45%) 0.94	0.22 (0.06) 5.7E-05 (41%) 0.16	0.21 (0.01) 1.8E-52 0.64 0%	0.11 (0.01) 1.6E-16 (49.8%) 0.41	0.11 (0.02) 4.1E-10 (49.6%) 0.34	0.15 (0.02) 4.6E-12 (49%) 0.49	0.09 (0.03) 0.003 (49.8%) 0.44	0.13 (0.03) 1.9E-04 (49.7%) 0.67	0.10 (0.06) 0.10 (46%) 1.0	0.12 (0.01) 1.6E-40 0.59 0%	0.12 (0.04) 0.001 (49.5%) 1.0	0.26 (0.05) 1.2E-05 (24%) 0.18
			0.16 (0.04) 6.9E-06 (14%) 1.0	0.07 (0.04) 0.11 (15%) 0.34	0.10 (0.05) 0.04 (15%) 0.85	0.06 (0.05) 0.18 (16%) 0.35	0.13 (0.07) 0.06 (16%) 0.30	0.26 (0.08) 0.001 (10%) 1.0	0.12 (0.02) 2.5E-09 0.21 30%	0.24 (0.01) 1.7E-60 (32%) 0.71	0.23 (0.02) 9.8E-33 (32%) 0.83	0.28 (0.02) 1.0E-35 (33%) 0.70	0.21 (0.03) 2.9E-11 (31%) 0.78	0.24 (0.04) 5.1E-11 (32%) 0.74	0.26 (0.06) 4.1E-05 (32%) 0.86	0.24 (0.01) 2.0E-148 0.42 0%	0.24 (0.04) 4.7E-09 (29%) 0.40	0.35 (0.07) 3.9E-07 (17%) 1.0
HDL	LIPC	rs1800588 T/C	0.09 (0.02) 5.9E-04 (48%) 1.0	0.07 (0.03) 0.03 (49.8%) 0.93	0.11 (0.03) 0.002 (49.9%) 0.81	0.11 (0.03) 0.002 (49.5%) 0.40	0.18 (0.05) 2.1E-04 (45%) 0.22	0.11 (0.06) 0.05 (46%) 0.86	0.10 (0.01) 2.3E-12 0.48 0%	0.13 (0.02) 2.3E-14 (21%) 0.03	0.12 (0.02) 5.7E-08 (21%) 0.93	0.13 (0.03) 2.0E-06 (22%) 0.21	0.08 (0.03) 0.02 (22%) 0.19	0.13 (0.04) 0.001 (21%) 0.14	0.08 (0.07) 0.31 (18%) 0.31	0.12 (0.01) 2.8E-29 0.86 0%	0.14 (0.04) 1.3E-04 (47%) 0.01	0.05 (0.06) 0.35 (35%) 0.12
			0.17 (0.05) 5.0E-04 (7%) 0.68	0.20 (0.06) 5.2E-04 (8%) 0.44	0.10 (0.07) 0.13 (7%) 1.0	0.04 (0.07) 0.50 (8%) 0.86	0.06 (0.10) 0.55 (7%) 0.79	0.12 (0.11) 0.28 (7%) 0.48	0.13 (0.03) 1.1E-06 0.46 0%	0.18 (0.02) 2.9E-15 (10%) 0.26	0.19 (0.03) 1.1E-09 (10%) 0.16	0.16 (0.03) 8.9E-06 (11%) 0.38	0.26 (0.05) 2.0E-08 (11%) 0.46	0.06 (0.06) 0.27 (10%) 0.44	0.13 (0.11) 0.21 (9%) 0.63	0.18 (0.01) 1.8E-33 0.13 41%	0.18 (0.07) 0.001 (8%) 0.48	0.11 (0.08) 0.17 (12%) 1.0
LDL	PCSK9	rs505151 G/A	0.09 (0.03) 0.002 (25%) 0.26	0.15 (0.04) 3.7E-05 (26%) 0.86	0.09 (0.04) 0.02 (24%) 0.52	0.15 (0.04) 1.6E-04 (25%) 0.01	0.08 (0.06) 0.17 (24%) 0.01	-0.08 (0.09) 0.37 (28%) 1.0	0.11 (0.02) 1.1E-10 0.17 35%	0.09 (0.04) 0.02 (4%) 0.11	0.05 (0.05) 0.36 (4%) 1.0	0.07 (0.05) 0.17 (4%) 0.07	-0.03 (0.07) 0.12 (4%) 1.0	0.12 (0.09) 0.49 (4%) 1.0	0.12 (0.18) 0.004 (4%) 1.0	0.07 (0.02) 0.004 (6%) 0.76	0.23 (0.08) 0.004 (6%) 0.22	0.24 (0.11) 0.03 (6%) 0.76
			-0.52 (0.17) 0.002 (0.5%) 0.07	-0.14 (0.21) 0.50 (0.5%) 1.0	-0.27 (0.26) 0.30 (0.4%) 1.0	-0.38 (0.21) 0.08 (0.6%) 0.06	-0.70 (0.45) 0.12 (0.3%) 1.0	— — — —	-0.38 (0.10) 1.8E-04 0.62 0%	-0.47 (0.06) 1.9E-17 (1.6%) 0.53	-0.47 (0.08) 6.5E-10 (1.5%) 1.0	-0.50 (0.09) 3.7E-08 (1.4%) 0.60	-0.25 (0.12) 0.05 (1.4%) 1.0	-0.61 (0.14) 1.6E-05 (1.5%) 1.0	-0.50 (0.26) 0.05 (1.1%) 1.0	-0.46 (0.04) 2.3E-37 0.50 0%	-0.21 (0.20) 0.30 (1.0%) 1.0	— — — —
TG	LPL	rs328 G/C	-0.21 (0.05) 3.5E-05 (7%) 0.68	-0.18 (0.06) 0.003 (8%) 0.44	-0.13 (0.07) 0.07 (7%) 1.0	-0.18 (0.07) 0.007 (8%) 0.86	0.00 (0.10) 0.99 (7%) 0.79	-0.36 (0.16) 0.02 (7%) 0.48	-0.17 (0.03) 1.3E-09 0.35 10%	-0.20 (0.02) 1.1E-17 (10%) 0.26	-0.19 (0.03) 8.5E-09 (10%) 0.16	-0.22 (0.03) 2.8E-10 (11%) 0.38	-0.23 (0.05) 4.9E-07 (11%) 0.46	-0.09 (0.06) 0.10 (10%) 0.44	-0.25 (0.17) 0.14 (9%) 0.63	-0.20 (0.01) 2.7E-39 0.41 0.5%	0.27 (0.07) 7.23E-05 (8%) 0.48	-0.04 (0.08) 0.59 (12%) 1.0
			0.22 (0.05) 2.3E-05 (6%) 0.37	0.31 (0.06) 1.6E-06 (6%) 0.57	0.39 (0.07) 4.0E-08 (6%) 1.0	0.29 (0.08) 1.2E-04 (6%) 0.70	0.14 (0.10) 0.16 (7%) 0.26	0.23 (0.14) 0.09 (5%) 1.0	0.27 (0.03) 2.6E-20 0.30 17%	0.28 (0.03) 2.6E-24 (7%) 0.94	0.22 (0.04) 1.1E-08 (7%) 1.0	0.26 (0.05) 1.1E-08 (6%) 0.19	0.18 (0.06) 0.002 (6%) 0.61	0.29 (0.07) 5.3E-05 (6%) 0.38	0.48 (0.14) 9.4E-04 (7%) 0.59	0.48 (0.02) 1.3E-45 0.36 8.9%	0.37 (0.05) 1.2E-11 (13%) 0.09	— — — —

For each study cohort/ethnicity with each phenotype, the five numbers are (1) effect size (beta-coefficient), (2) standard error for the effect size, (3) *P* value for genotype-phenotype association, (4) allele frequency for the allele designated in the SNP column as the minor allele, and (5) the Hardy-Weinberg *P* value for the SNP. For the meta-analyses, the five numbers are (1) the overall effect size, (2) the overall standard error, (3) the overall *P* value for the ethnicity, (4) the Cochran's *Q* *P* value for heterogeneity among the cohorts for the ethnicity, and (5) the *I*² inconsistency metric for heterogeneity. The numbers of DNA samples successfully genotyped and passing QC criteria for each cohort are indicated in the top row. HDL = high-density lipoprotein cholesterol; LDL = low-density lipoprotein cholesterol; TG = triglyceride

SUPPLEMENTAL FIGURE LEGENDS

Supplemental Figure 1. Governance structure of CARE.

Supplemental Figure 1. Governance structure of CARE

